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REGISTERED BY
THE MINISTRY OF JUSTICE OF
THE REPUBLIC OF ARMENIA
APRIL 9, 2007
STATE REGISTRATION NUMBER: 05007166

REPUBLIC OF ARMENIA CENTRAL BANK BOARD DECISION

Number 61-N dated February 20, 2007

ON APPROVING THE FORM FOR PROVISION OF INFORMATION ON TRANSACTIONS SUBJECT TO MANDATORY REPORTING AND SUSPICIOUS TRANSACTIONS (REPORTING FORM 007) BY TERRITORIAL SUBDIVISIONS OF THE STATE COMMITTEE OF THE REAL ESTATE CADASTRE ADJUNCT TO THE GOVERNMENT OF THE REPUBLIC OF ARMENIA (HEREINAFTER, "REPORTING ENTITIES"), AND APPROVING THE PROVISION OF INFORMATION PROCEDURE AND DEADLINES

Based on Article 7 of the Republic of Armenia Law on Combating the Legalization of Crime Proceeds and Terrorism Financing, and guided by Articles 5 and 20 of the Republic of Armenia Law on the Central Bank, the Republic of Armenia Central Bank Board hereby decides:

1. To approve the Form for Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions by Reporting Entities (Reporting Form 007) as per Appendix 1 (attached).
2. To approve the Procedure of Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions by Reporting Entities as per Appendix 2 (attached).
3. To approve the Deadlines of Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions by Reporting Entities as per Appendix 3 (attached).

SIGNED BY THE DEPUTY GOVERNOR
OF THE CENTRAL BANK
OF THE REPUBLIC OF ARMENIA ON FEBRUARY 27, 2007

Appendix 1

Approved under
Decision 61-N of the
Republic of Armenia
Central Bank Board
Dated February 20, 2007

New report ○
Supplementary report ○
Number of supplemented report ☐ and ☐
sequence number of the supplementary report version

REPORTING FORM 007

FOR PROVISION OF INFORMATION ON TRANSACTIONS SUBJECT TO MANDATORY REPORTING AND SUSPICIOUS TRANSACTIONS BY REPORTING ENTITIES

This Reporting Form is filled out and presented by territorial subdivisions of the State Committee of the Real Estate Cadastre adjunct to the Government of the Republic of Armenia, in accordance with the Guidelines for Filling out and Presenting this Form.

Whenever used in this Reporting Form, the "" sign denotes the fields that must be filled out for transactions that are subject to mandatory reporting.*

1. DESCRIPTION OF THE TRANSACTION

***1.1. Date transaction executed** ☐☐ / ☐☐ / ☐☐☐☐ (d d / m m / y y y y)
Fill out only one of fields 1.2. and 1.3.

***1.2. Transaction subject to mandatory reporting** ☐ | **1.3. Suspicious transaction** ☐

1.3.1. Grounds and criteria for deeming transaction suspicious
(If the information does not fit in the space allocated for this field, add a numbered page, marked "1.3.1." in the left corner, and fill out the necessary information in that page.)

Fill out only one of fields 1.3.2. and 1.3.3.

1.3.2. Registration was refused ☐ **Date of refusal** ☐☐ / ☐☐ / ☐☐☐☐ (d d / m m / y y y y)

1.3.3. Unexecuted or unfinished transaction ☐

1.4. Essence of transaction (fill out in words)

***1.5. Transaction price (value) in Armenian drams**

2. TRANSACTION PARTIES

In case of two or more parties to the transaction, fill out this section twice or more times. See paragraph 5 of the General Provisions of the Guidelines.

***2.1. Number of transaction parties** ☐

2.1.1. Legal entity or sole entrepreneur

***2.1.1.1. Full name**
Name of the organization, legal-organizational form

***2.1.1.2. Status of transaction party**

***2.1.1.3. Location (residence)** Apartment, building, street | City/village | Country

***2.1.1.4. Taxpayer identification number**

***2.1.1.5. State registration number**

2.1.2. If the transaction party is a natural person:

*** 2.1.2.1. Name** *** 2.1.2.2. Surname**

Specify the sex and family status of the authorized person that is a natural person

***2.1.2.3. On whose behalf does the authorized person act?**

***2.1.2.4. Residence address** Apartment, building, street | City/village | Country

***2.1.2.5 Date of birth** d d / m m / y y y y **2.1.2.6. Citizenship** Country

***2.1.2.7 Social card number**

***2.1.2.8 Name of personal identification document**

***2.1.2.8.1 Document number**

***2.1.2.8.2 Issuing authority and date issued** d d / m m / y y y y

3. ENTITY TO WHOSE BENEFIT THE TRANSACTION IS CONDUCTED (BENEFICIARY)

In case of two or more beneficiaries, fill out the beneficiary information twice or more times

***3.1. Number of beneficiaries**

3.1.1. If the beneficiary is a legal entity or sole entrepreneur

***3.1.1. Full name** Name of the organization, legal-organizational form

3.1.2. Location (residence) Apartment, building, street | City/village | Country

3.1.3. Taxpayer identification number

3.1.4. State registration number

3.1.2. If the beneficiary is a natural person

3.1.2.1. Name **3.1.2.2. Surname**

Specify the sex and family status of the authorized person that is a natural person

3.1.2.3. Residence address Apartment, building, street | City/village | Country

3.1.2.4. Date of birth d d / m m / y y y y **3.1.2.5. Citizenship** Country

3.1.2.6. Social card number

3.1.2.7. Name of personal identification document

3.1.2.7.1. Document number

3.1.2.7.2. Issuing authority and date issued d d / m m / y y y y

4. OTHER TRANSACTION-RELATED INFORMATION THAT YOU DEEM IMPORTANT

(If the information does not fit in the space allocated for this field, add a numbered page, marked "4" in the left corner, and fill out the necessary information in that page.)

See paragraph 5 of the General Provisions of the Guidelines.

***5. OFFICER INFORMATION**

***5.1. Name** | **5.2. Surname**

5.3. Date form filled out** (d d / m m / y y y y) | *Signature* | ***SEAL

***5.4. FMC recording number of Reporting Entity**

Republic of Armenia Central Bank
Financial Monitoring Center
6 V. Sargsyan, 375010
Tel. 545088, E-mail: info_fiu@cba.am

Annex

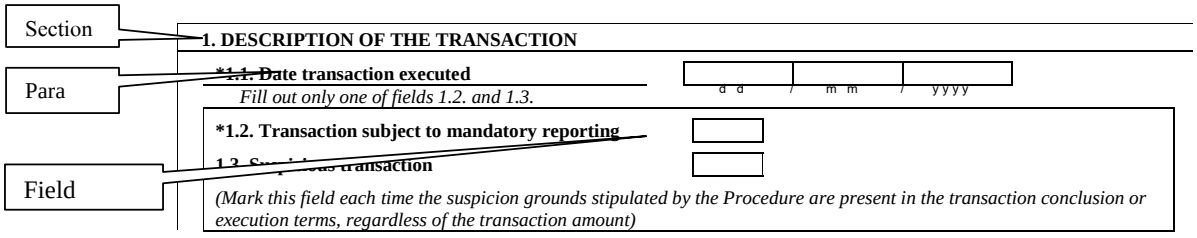
To Appendix 1: "Form for Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions by Reporting Entities (Reporting Form 007)"

GUIDELINES FOR FILLING OUT AND PRESENTING REPORTING FORM 007 (HEREINAFTER, "GUIDELINES")

General Provisions
(On the procedure of filling out and presenting)

This Reporting Form should be filled out only after receiving a recording number from the Republic of Armenia Central Bank Financial Monitoring Center (hereinafter, "FMC") on the basis of the "Procedure of Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions by Reporting Entities."

Reporting Form Structure and Concepts Used in these Guidelines:



This Reporting Form is filled out by territorial subdivisions of the State Committee of the Real Estate Cadastre adjunct to the Government of the Republic of Armenia.

Procedure of Filling out

1. Fill out this Reporting Form in the following two cases:

a) If the value of the transaction (in case of transactions that have an assessed value):
   1. Exceeds 20 million drams, with the exception of:
   2. Real estate-related transactions, for which a Reporting Form is filled out, if the transaction value exceeds 50 million drams;

b) If at least one of the suspicious transaction grounds and criteria defined by the Republic of Armenia Law on Combating the Legalization of Crime Proceeds and Terrorism Financing or by other legal acts is present in the execution or performance conditions of the transaction, regardless of whether the transaction amount exceeds 20 million drams.

2. This Reporting Form is not subject to regular submission. It is only submitted if there are transactions of the type mentioned in paragraph 1 above.

If the report is submitted on a suspicious transaction, then all the cells in the Reporting Form must be filled out. If the report is submitted on a transaction subject to mandatory reporting, then only the cells marked with an asterisk must be filled out. However, if it is impossible to fill out a cell due to the absence of data, then an asterisk is inserted in the cell content, and a relevant explanation provided in Section 4 of the Reporting Form.

Options for Filling out

3. Fill out this Reporting Form in Armenian, using language that is as simple, concise, comprehensible, and unambiguous as possible.

4. Fill out this Reporting Form in any of the following two forms:

a) On paper: the paper version of the Reporting Form can be obtained:
   1. By contacting the FMC; or
   2. By downloading from the website of the FMC (www.cba.am/fmc/index.htm).

Fill out the paper version of the Reporting Form using a pen and block letters.

If you need to make corrections in the substance, fill out a new Reporting Form.

If you need to make technical corrections, make them and place your signature next to such corrections.

Fill out the Reporting Form within the allocated space limits of the paper form. If you need to fill out new sections, use additional papers. On the additional papers, mark the section or paragraph that is being filled out, sign the additional papers and attach them to the main Reporting Form. For example, if you have determined that three authorized persons are acting in the transaction, specify the number "3" in paragraph 2.2 of the Reporting Form, then make two photocopies on a separate sheet of section 2.2, fill out the appropriate information, sign, and attach to the Reporting Form.

If the space limits for any paragraph of the Reporting Form are not sufficient, fill out the information using additional sheets of paper in the following way: on the left side of the paper, specify the paragraph to which the information applies, and continue the numbering of sheets from the last page of the Reporting Form. For example, specify on a separate sheet the grounds for deeming a transaction suspicious under paragraph 1.3.1, then number the sheet, specify "1.3.1" on the top left corner of the sheet, sign it, and attach to the Reporting Form.

b) Electronically: the electronic version of the Reporting Form can be obtained:

1. By contacting the FMC; or
2. By downloading from the website of the FMC (www.cba.am/fmc/index.htm).

Fill out the electronic version of the Reporting Form by activating the windows containing the table and filling out the data.

Depending on what fields you have filled out, only the windows in which the tables are complementary (not mutually exclusive) will be activated (after the automated software is installed).

The windows containing the tables expand depending on the volume of the material filled out therein.

If the same section (for example, section 2) needs to be filled out twice or more times, specify the number of copies in the appropriate cell in section 2 (for example, for section 2, you need to check paragraph 2.1), and the automated software will activate an appropriate number of sections (after the automated software is installed).

5. The deadlines for submitting the Reporting Form are specified in Appendix 3 to this Decision.

6. The modes of submission of a Reporting Form are specified in Appendix 2 to this Decision.

Special Provisions

"New report" or "Supplementary report." Before filling out any report, it is necessary to specify whether it is a new report or a supplement to a report submitted earlier.

If it is necessary to provide additional or amended information on a report submitted earlier, then the "Supplementary report" circle needs to be ticked. When this is done in the electronic form, the "number of supplemented report" and the "sequence number of the supplementary report version" fields pop up, accordingly. The name of the electronic file of the Report that is being supplemented by the "supplementary report" must be filled out in the "number of supplemented report" field. In the "sequence number of the supplementary report version" field, it is necessary to fill out (using numbers) the number of the "supplementary report" in the sequence of submitted "supplementary reports."

The "supplementary report" needs to contain all the information provided in the past, including the amendment made.

The file carrying the last sequence number in the "sequence number of the supplementary report version" field of the files with the same name will be considered the latest version of the report on a transaction.

The following information will be considered supplementary:

a. Information that is inaccessible or cannot be obtained at the time of filling out the main report, i.e. when a report about an executed transaction needs to be submitted to the FMC during the established deadline, but inquiries have been made with the customer or other organizations in order to collect the information needed to fill out the required fields;

b. Information that is submitted in response to the FMC's request: if there are no appropriate fields for the requested information, the information is filled out in section 4 of the Reporting Form;

c. Information that is related to a concluded transaction that still has not been executed: in this case, the "Unexecuted or unfinished transaction" field should be marked, and the reason for interrupting the transaction (for example, unilateral termination of the contract by the customer) should be mentioned in section 4 of the Reporting Form; and

d. Information that includes other information considered important by the Reporting Entity: the need to provide such information arises, because the Reporting Entity has received new information that must be submitted to the FMC, and such information is not included in the required fields.

(1.1) "Date transaction registered": specify the day, month, and year (in numbers) of the registration of rights under the transaction subject to reporting; if it has not been registered yet, specify the date on which such registration was requested. In section 4 of the Reporting Form, explain why the rights under the transaction have not been registered yet, and fill out the current stage of registration.

(1.2) "Transaction subject to mandatory reporting": fill out this paragraph in all the cases in which the transaction price (in case of transactions that have an assessed value):

a. Exceeds 20 million drams, with the exception of:

b. Real estate-related transactions, for which a Reporting Form is filled out, if the transaction value exceeds 50 million drams;

If you have marked paragraph 1.2, do not mark paragraph 1.3. If you mar marked paragraph 1.2, then fill out only the fields marked with an asterisk.

If the total price of the transaction is not specified, then the sum of term payments under the transaction (such as the monthly rent in case of a lease agreement) will be considered the transaction price.

If the transaction price exceeds the limit specified in this paragraph, but you also deem the transaction suspicious, skip this paragraph and fill out paragraph 1.3; continue filling out the Reporting Form as a form for a suspicious transaction.

(1.3) "Suspicious transaction": if you have marked paragraph 1.3, do not mark paragraph 1.2. Mark paragraph 1.3 each time when at least one of the suspicion grounds and criteria is present in the transaction execution or performance conditions.

If you mark paragraph 1.3, then specify the suspicion grounds and criteria in paragraph 1.3.1, and specify what you consider to be suspicious.

If you have refused the transaction, fill out paragraph 1.3.2, specifying the refusal date.

Mark paragraph 1.3.3 if, for any reason other than suspension or refusal, the transaction was not executed or was discontinued (for instance, if the parties refused to register rights under an obviously suspicious transaction at the time of such registration). If the transaction was not executed, then fill out in the Reporting Form the information that became known during the period from the moment of proposing to conclude the transaction till the moment the party (parties) refused the intent to execute it. In section 4 of the Reporting Form, specify the reasons for non-execution, which are known to you.

(1.4) "Essence of the transaction": in this paragraph, specify the essence and object (for instance, sale or lease of real estate) of the transaction.

(1.5) "Transaction amount": in this paragraph, specify the amount contemplated under the transaction in Armenian drams (in numbers).

(2) "Transaction party (parties)": in this section, provide information about the transaction party (parties). If the transaction party is a legal entity or sole entrepreneur, fill out the sub-paragraphs under paragraph 2.1.1. If the transaction party is a natural person, fill out the sub-paragraphs under paragraph 2.1.2. In paragraph 2.1, specify the number of entities on behalf of which the transaction is being concluded, after which fill out section 2.1 for all such entities.

The location (residence) of the legal entity or sole entrepreneur that is a party to the transaction is filled out in paragraph 2.1.1.3; if it is impossible, the place of its business will be specified. The place of the customer's business may also be specified in paragraph 4 as additional information.

(2.2) Information about the authorized person that conducts the transaction on behalf of the transaction party: in this paragraph, provide information about the authorized person (if any) acting at the instruction and on behalf of the customer in the execution of the transaction. Fill out this section only if the transaction is conducted through an authorized person.

In paragraph 2.2, mention the number of authorized persons. Specify how many authorized persons take part in the transaction, and fill out 2.2 for all of them.

Regardless of whether the report is on a transaction subject to mandatory reporting or a suspicious transaction report, the information about the authorized person (if any) will be considered mandatory for filling out.

(3) "Entity to whose benefit the transaction is conducted (beneficiary)": in this paragraph, provide information about the entity (entities) to whose benefit the unilateral transaction is conducted.

In paragraph 3.1, specify the number of beneficiaries in the transaction, and fill out section 3 for each beneficiary.

If the report is submitted as a report on a transaction subject to mandatory reporting, the information about the beneficiary will not be considered mandatory for filling out. If the report is submitted as a suspicious transaction report, all the fields of the Reporting Form, which concern the beneficiary (if any), will be considered mandatory for filling out.

(4) "Other transaction-related information that you deem important": in this paragraph, fill out information that, in your opinion, may be useful in developing a more concrete understanding of or analyzing the transaction. For any information that you fill out, specify the Reporting Form section or paragraph to which it pertains.

(5) "Officer information": in this paragraph, provide information about the officer responsible in the Reporting Entity for combating money laundering and terrorism financing. This officer needs to sign and seal the filled-out Reporting Form (in case of submitting the paper version).

(5.3) "Date form filled out": in this paragraph, specify the day, month, and year (in numbers) of filling out this Reporting Form.

(5.4) "FMC recording number of Reporting Entity": in this paragraph, specify the recording number (in numbers) issued to you by the Financial Monitoring Center of the Republic of Armenia Central Bank.

Republic of Armenia Central Bank
Financial Monitoring Center
6 V. Sargsyan, 375010
Tel. 545088
E-mail: info_fiu@cba.am

Appendix 2

Approved under
Decision 61-N of the
Republic of Armenia
Central Bank Board
Dated February 20, 2007

PROCEDURE OF INFORMATION PROVISION ON TRANSACTIONS SUBJECT TO MANDATORY REPORTING AND SUSPICIOUS TRANSACTIONS BY REPORTING ENTITIES

The purpose of this Procedure is the establishment and implementation of a general system for recording the entities submitting reports in respect of combating the legalization of crime proceeds and terrorism financing (hereinafter: "recording"), and of a uniform, centralized procedure for provision of information on transactions subject to mandatory reporting and suspicious transactions (Reporting Form 007).

1. Recording of Reporting Entities in the Financial Monitoring Center of the Central Bank (hereinafter, "the Financial Monitoring Center")

1.1. Recording is performed by means of registering Reporting Entities in the Financial Monitoring Center.
The recording of Reporting Entities is carried out in the following stages:
a) Submission of applications by the Reporting Entities; and
b) Recording of Reporting Entities.

2. Submission of Applications by Reporting Entities

2.1. For purposes of recording, Reporting Entities submit to the Financial Monitoring Center the application stipulated by the Annex to this Procedure in written form. The application is signed by the head of the Reporting Entity and endorsed with the Reporting Entity's seal.
The application for recording needs to contain:
a) The full name of the Reporting Entity;
b) The type of activities of the Reporting Entity;
c) The location of the Reporting Entity and of its business;
d) The taxpayer identification number and state registration number of the Reporting Entity;
e) The license number of the Reporting Entity;
f) The contact details of the internal audit unit head or officer (name, surname, patronymic, position, e-mail address, telephone, and signature); and
g) The date (day, month, and year) of filling out the application for recording.

3. Recording of Reporting Entities in the Financial Monitoring Center

3.1. After filling out and submitting to the Financial Monitoring Center the application stipulated by this Procedure, a recording number is issued to the Reporting Entity.
The Reporting Entity's recording number is entered into the unified database of the Financial Monitoring Center.
3.2. Reporting Entities, which are not connected to the CBANet inter-bank corporate network and, nevertheless, would submit the reports electronically, also receive an identification key for registration in the Central Bank's CBANet server, which enables sending electronic reports to the Financial Monitoring Center from a computer installed in the territory of the Central Bank.
3.3. Reporting Entities, which would submit their reports through the Internet, receive identification information produced at the time of registration on the Internet server located in the Central Bank, which need to be entered into the system in order to identify the Reporting Entity.
3.4. If a Reporting Entity is connected with the CBANet computer corporate network, it can use the network to send and receive the application for recording, the Reporting Entity's recording number, and electronic Reporting Forms.

4. Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions

4.1. Information is submitted to the Financial Monitoring Center in paper and/or electronic form. At that, a Reporting Form is submitted to the Financial Monitoring Center in any of the following modes:
a) By physical submission: in this case, the paper and electronic versions (on a medium) of the Reporting Form are submitted to the Financial Monitoring Center through the Documentation Unit of the Central Bank. A report is deemed to have been submitted when the Documentation Unit of the Central Bank or the computer placed in the territory of the Central bank generate a receipt;

b) In case of being connected with the CBANet network, by means of submission through this network. Meanwhile, Reporting Entities that are not connected with the CBANet network may submit the Reporting Forms electronically through the CBANet network terminal placed at the entrance of the Central Bank;

c) Through the Internet (e-mail): in this case, the electronic version of a Reporting Form is sent to the e-mail address of the Financial Monitoring Center and is deemed to have been submitted when a reply from the same e-mail address is received;

d) By fax: in this case, the paper version of the Reporting Form is sent to the fax number of the Financial Monitoring Center and is deemed to have been submitted when the fax transmission confirmation is generated; or

e) By mail: in this case, the paper and electronic versions (on a medium) of the Reporting Form are sent to the mailing address of the Financial Monitoring Center and are deemed to have been submitted upon their presentation to an office of ArmPost unit and the provision by the latter of a receipt.

4.2. In all cases, with the exception of sub-paragraphs (a) and (b) of paragraph 4.1, the paper versions of the reports (either filled out manually, or filled out electronically and printed out) are delivered to the Central Bank by mail or in person before the end of the current month.

Annex

To Appendix 2, the Procedure of Provision of Information on
Transactions Subject to Mandatory Reporting and
Suspicious Transactions by Reporting Entities

Your recording number in the FMC

APPLICATION FOR RECORDING

For the attention of: Mr. _____
Head of the Financial Monitoring Center of the
Central Bank of the Republic of Armenia

I hereby request that you provide a recording number to _____
(full name of the Reporting Entity)

Information about the Reporting Entity:
Type of activities _____
Location _____
Location of business _____
Taxpayer identification number _____
State registration number _____
License number _____

Contact details of officer/-s
1. _____
Name, surname, patronymic, position, e-mail address (Internet and CBANet), telephone, signature
2. _____
Name, surname, patronymic, position, e-mail address (Internet and CBANet), telephone, signature
3. _____
Name, surname, patronymic, position, e-mail address (Internet and CBANet), telephone, signature
4. _____
Name, surname, patronymic, position, e-mail address (Internet and CBANet), telephone, signature

Head of the Executive Body of the Reporting Entity _____
signature

--- -------- 20 -----

City of Yerevan

Appendix 3
Approved under
Decision 61-N of the
Republic of Armenia
Central Bank Board
Dated February 20, 2007

DEADLINES FOR PROVISION OF INFORMATION ON TRANSACTIONS SUBJECT TO MANDATORY REPORTING AND SUSPICIOUS TRANSACTIONS BY REPORTING ENTITIES

The purpose of this Appendix is to define uniform deadlines for provision of information on transactions subject to mandatory reporting and suspicious transactions (Reporting Form 007), as established by the Board of the Central Bank of the Republic of Armenia.

1. Deadlines for Provision of Information on Transactions Subject to Mandatory Reporting and Suspicious Transactions

1.1. Provision of information on transactions subject to mandatory reporting and suspicious transactions is not a periodic activity. Information on transactions subject to mandatory reporting and suspicious transactions is provided in the presence of suspicious transaction grounds and criteria, and/or the minimum amounts subject to mandatory reporting, as stipulated by Law and the legal acts of the Authorized Body.

1.2. If the suspicious transaction grounds and criteria are discovered in a transaction (operation), a suspicious transaction report is submitted to the Financial Monitoring Center by the Reporting Entity within the same working day or, if it is impossible, before noon of the next working day.

The impossibility to submit the report mentioned in the paragraph above may be due to:

a) The end of the working (operational) day;

b) Insurmountable problems of software, hardware, or communication; or

c) Disputable cases (cases subject to discussion), etc.

1.3. A report on a transaction (operation) subject to mandatory reporting is submitted to the Financial Monitoring Center by the Reporting Entity within three working days of concluding the transaction.

1.4. The Financial Monitoring Center accepts reports signed only by the person whose information has been submitted to the Central Bank in advance in accordance with the procedure stipulated by this Decision.